Page 1 of 3 ATTY. DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE Form PTO-1449 Unassigned PATENT AND TRADEMARK OFFICE 018733-1089 (MODIFIED) APPLICANT Gary L. GRIFFITHS et al. INFORMATION DISCLOSURE CITATION GROUP ART UNIT **FILING DATE** Unassigned 02/06/2002 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** FILING DATE DOCUMENT SUB-**EXAMINER** CLASS NAME DATE REF CLASS INITIAL NUMBER **APPROPRIATE** Hansen et al A1 3,927,193 12/16/1975 A2 05/25/1982 Goldenberg 4,331,647 A3 09/07/1982 Goldenberg 4,348,376 A4 11/30/1982 Goldenberg 4,361,544 **A5** 08/28/1984 Goldenberg et al. 4,468,457 A6 4,444,744 04/24/1984 Goldenberg Α7 Shaw et al. 4,460,459 07/17/1984 **8A** Goldenberg 4,460,561 07/17/1984 Α9 4,036,945 07/19/1977 Haber A10 4,735,210 04/05/1988 Goldenberg A11 5,851,527 12/22/1998 Hansen FOREIGN PATENT DOCUMENTS TRANSLATION SUB-DOCUMENT CLASS DATE COUNTRY REF CLASS NUMBER YES NO 06/27/1991 **WIPO** 91/09134 A12 EPO 02/10/1992 0 501 215 A2 A13 **WIPO** 07/04/1996 96/20011 A14 **WIPO** 97/41898 11/13/1997 A15 99/42593 08/26/1999 **WIPO** A16 **WIPO** 12/29/1999 99/66951 A17 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) TRAIL ET AL., "Cure of Xenografted Human Carcinomas by BR96-Doxorubicin Immunoconjugates", Science, A18 1993, pp. 212-215, Vol. 261. TRAIL ET AL., "Effect of Linker Variation on the Stability, Potency, and Efficacy of Carcinomareactive BR64-A19 Doxorubicin Immunoconjugates", Cancer Res., 1997, pp. 100-105, Vol. 57. ARCAMONE, "Properties of Antitumor Anthracyclines and New Developments in Their Application: Cain A20 Memorial Award Lecture", Cancer Res., 1985, pg. 5995, Vol. 45. DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next

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